

ATTORNEY DOCKET NUMBER  
062986.0200

COPY OF PAPERS  
ORIGINALLY FILED

PATENT APPLICATION  
09/910,589

Receipt

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William A. Huffman, et al.

Serial No.:

09/910,589

Filing Date:

July 20, 2001

Confirmation No.:

1406

Title:

SYSTEM AND METHOD FOR HANDLING UPDATES  
TO MEMORY IN A DISTRIBUTED SHARED  
MEMORY SYSTEM

RECEIVED

JUN 10 2002

Technology Center 2100

Office of Initial Patent Examination's Customer  
Service Center  
Assistant Commissioner of Patents  
Washington, D.C. 20231

I hereby certify that this  
correspondence is being deposited  
with the United States Postal Service  
as first class mail in an envelope  
addressed to: Office of Initial Patent  
Examination's Customer Service  
Center, Assistant Commissioner of  
Patents, Washington, D.C. 20231, on  
the date shown below.

D. Lander  
Name

5-7-02  
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

The Domestic Priority Data is listed incorrectly on the Official Filing Receipt. Please delete "This Application is a REI of 60/219,929 07/21/2000" and insert --**THIS APPLICATION CLAIMS THE BENEFIT OF 60/219,929 07/20/2000**—. A copy of Page 1 of the Transmittal

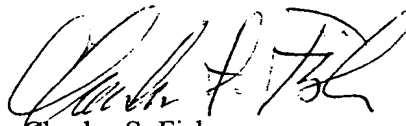
filed with the Application is included and reflects the correct Domestic Priority Data. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 7th day of May, 2002.

BAKER BOTTS L.L.P.

Attorneys for Applicants



Charles S. Fish

Reg. No. 35,870

Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214)953-6507  
(sds)

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)COPY OF PAPERS  
ORIGINALLY FILED

**Box Patent Application**  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney's Docket: 062986.0200

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: William A. Huffman, et al.

**RECEIVED**

For: SYSTEM AND METHOD FOR HANDLING  
UPDATES TO MEMORY IN A DISTRIBUTED  
SHARED MEMORY SYSTEM

JUN 10 2002

Technology Center 2100

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- (1) 56 pages of Specification, Claims and Abstract;
- (2) 5 pages of Informal Drawings;
- (3) 1 page Certificate of Mailing by Express Mail;
- (4) This Transmittal Form with attached patent application filing fee in the amount of \$710.00.

This application claims the benefit of U.S. Provisional Application No. 60/219,929 filed July 20, 2000.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$710.00
Total Claims	20	20	0	X \$18 =	00.00
Independent Claims	2	3	0	X \$80 =	00.00
TOTAL FILING FEE =					\$710.00

COPY OF ~~FEES~~  
ORIGINAL FILED

UNITED STATES PATENT AND TRADEMARK OFFICE


 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/910,589	07/20/2001	2152	840	062986.0200	5	20	2

 Baker Botts L.L.P.  
 Suite 600  
 2001 Ross Avenue  
 Dallas, TX 75201-2980

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 JUN 10 2002  
 Technology Center 2100

CONFIRMATION NO. 1406

UPDATED FILING RECEIPT



\*OC000000007933602\*

Date Mailed: 04/23/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 William A. Huffman, Los Gatos, CA;  
 Jeff Kuskin, Mountain View, CA;

Domestic Priority data as claimed by applicant

CLAIMS THE BENEFIT

THIS APPLICATION IS A RE-FILE OF 60/219,929 (07/21/2000)

(\*) Data provided by applicant is not consistent with PTO records.

**Foreign Applications**

If Required, Foreign Filing License Granted 09/03/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**Title**

System and method for handling updates to memory in a distributed shared memory system

**Preliminary Class**

709

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
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2152

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/910,589	07/20/2001	William A. Huffman	062986.0200

CONFIRMATION NO. 1406

Baker Botts L.L.P.  
Suite 600  
2001 Ross Avenue  
Dallas, TX 75201-2980

\*OC000000008228392\*

Date Mailed: 06/04/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☒ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

  
\_\_\_\_\_  
Customer Service Center  
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